

Resolution of Singularities.

§ 0. Preliminaries and Main Goal.

A variety is an integral separate scheme of finite type over a field k .

Main Goal: Let X be a variety over a field of char zero. Then there exists a canonical desingularization of X , that is a smooth variety \tilde{X} and a proj bir morphism

$$\text{res}_X: \tilde{X} \rightarrow X$$

such that

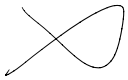
(1) $\text{res}_X^{-1}(\text{Sing } X)$ is a divisor with simple normal crossings.

(2) res_X is functorial respect to smooth morphisms and field extension.

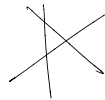
Remark: $E = \sum E^i$, E^i irr is called snc (on smooth var)

if E^i is smooth, and for each closed pt, \exists local coords

$$z_1, \dots, z_n \text{ s.t. } E^i = (z_{k_i}^{d_i} = 0), \quad E = (\prod z_{k_i}^{d_i} = 0).$$



normal crossing



snc.

Remark: Res "functor", associate each object $X \in \text{Var}$ an $\tilde{X} \in \text{Var}$ and a bir proj $\text{res}_X: \tilde{X} \rightarrow X$.

we say it is functorial resp to sm mor if

$$\forall h: Y \rightarrow X \text{ smooth mor, } \exists \tilde{h}: \tilde{Y} \rightarrow \tilde{X} \text{ s.t.}$$

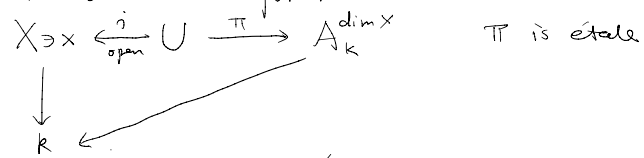
$$\begin{array}{ccc} \tilde{Y} & \xrightarrow{\tilde{h}} & \tilde{X} \\ \downarrow & & \downarrow \text{res}_X \\ Y & \xrightarrow{h} & X \end{array}$$

the diagram

is a fiber product.

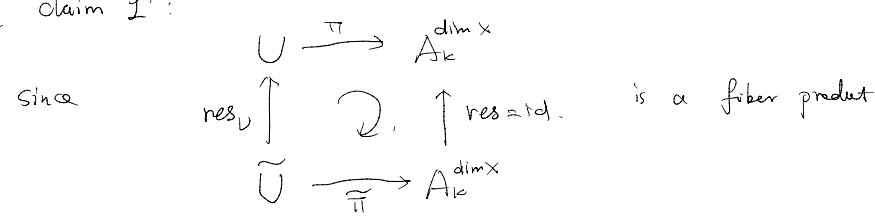
Prop 1: Let Res be a resolution "functor" that is functorial resp to sm morph, then $\forall \text{ var } X$, $\text{res}_x: \tilde{X} \rightarrow X$ is an isomorphism over $X \setminus \text{Sing}(X)$.

Proof: Let $x \in X$ be a smooth point.



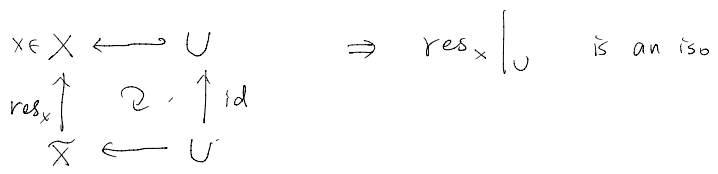
Claim 1*: G is an algebraic group / k char 0, then $\text{res}_G: \tilde{G} \rightarrow G$ is an isomorphism.

Assuming claim 1*:

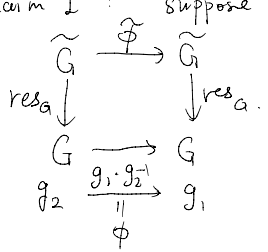


$\Rightarrow \text{res}_U$ is an isomorphism.

Now,



Proof of claim 1*: suppose $\text{res}_G: \tilde{G} \rightarrow G \ni g_1$ is not iso on g_1 .



here g_2 is a general pt s.t. res_G is iso.

$$\Rightarrow 1 \leq \dim \tilde{\phi}^{-1} \text{res}_G^{-1}(g_1) = \dim \text{res}_G^{-1} \phi^{-1}(g_1) = \dim \text{res}_G^{-1}(g_2) = 0$$

$\Rightarrow \Leftarrow$ □

Prop 2: Let Res be a resolution "functor" as above.

Let $X \in \text{Var} / k$ $\text{char} = 0$, G is an algebraic group acting on X , then the G -action lifts to a G action on \tilde{X} s.t.

$\text{res}_X: \tilde{X} \rightarrow X$ is G -equivariant. i.e.

$$\text{res}_X(g(\tilde{x})) = g \text{res}_X(\tilde{x}).$$

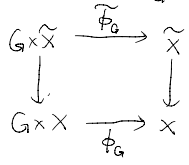
Proof: Note that the G -action is a smooth morphism.

i.e. $G \times X \xrightarrow{\phi_G} X$ is smooth.

① Consider $\pi_1: G \times X \rightarrow X$ projection:

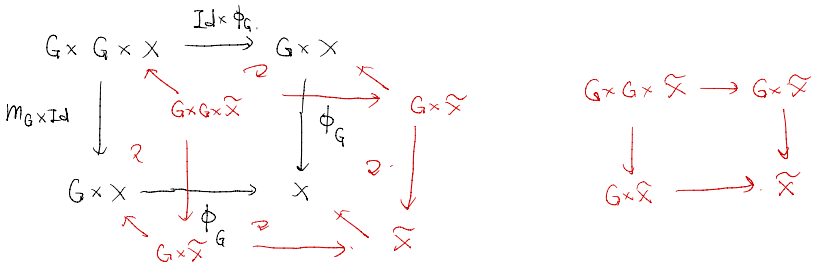


Consider $\phi_G: G \times X \rightarrow X$.

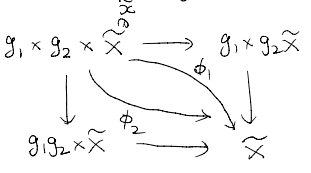


We now show that $\tilde{\phi}_G$ gives a group action on \tilde{X} .

②



We know, the diagram commutes over $X \setminus \text{Sing} X$.



$\forall \tilde{x} \in \tilde{X}$, \exists a general smooth curve not in $\text{res}^{-1}(\text{Reg} X)$.

denoted as $\tilde{C} \ni \tilde{x}$,
 $\phi_1(C) = \phi_2(C) / (X \setminus \text{Sing} X) \xRightarrow{\text{by valuative criteria}} \phi_1(C) = \phi_2(C)$

$$\Rightarrow \phi_1(\tilde{x}) = \phi_2(\tilde{x})$$

□.

One of the key ideas is, instead of considering the resolution problem, we consider the "Principalization" problem.

Easy version, weak.

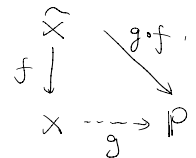
PI: Let X be a smooth variety over k char=0, $I \subset \mathcal{O}_X$ non-zero ideal sheaf.

Then $\exists f: \tilde{X} \rightarrow X$ proj bir, \tilde{X} sm, such that $f^*I \cdot \mathcal{O}_{\tilde{X}} \subset \mathcal{O}_{\tilde{X}}$ is an invertible ideal sheaf.

Rem: $f^*I \cdot \mathcal{O}_{\tilde{X}}$ is the image of f^*I under $f^*\mathcal{O}_X \rightarrow \mathcal{O}_{\tilde{X}}$.

Cor I: (Elimination of indeterminates).

Let X be a smooth variety k char=0, $g: X \dashrightarrow \mathbb{P}^n$ a rational map to some proj space. Then \exists sm var \tilde{X} and proj bir mor $f: \tilde{X} \rightarrow X$ such that $g \circ f: \tilde{X} \rightarrow \mathbb{P}^n$ is a morphism.



Proof: Since \mathbb{P}^n is projective, $\exists Z \in X$ with $\text{codim} \geq 2$ s.t. $g|_{X \setminus Z} \rightarrow \mathbb{P}^n$ is a morphism. (Valuative criterion for properness)

By algebraic Hartogs thm, $g^*\mathcal{O}_{\mathbb{P}^n}(1)|_{X \setminus Z}$ extends uniquely to a line bundle on X , denoted as L .

Let $J \subset L$ be a subsheaf generated by $g^*H^0(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1))$, $I = J \otimes L^{-1} \subset \mathcal{O}_X$.
 Take $f: \tilde{X} \rightarrow X$ s.t. $f^*I \cdot \mathcal{O}_{\tilde{X}}$ is invertible ideal sheaf.

Since $f^*I = f^*J \otimes (f^*L)^{-1} \Rightarrow f^*J = f^*I \otimes f^*L$.

$\tau: f^*I \hookrightarrow \mathcal{O}_{\tilde{X}}^{f^*I \cdot \mathcal{O}_{\tilde{X}}}$ that defines $f^*I \cdot \mathcal{O}_{\tilde{X}}$

$\tau \otimes f^*L: f^*I \otimes f^*L = f^*J \rightarrow \underline{f^*I \cdot \mathcal{O}_{\tilde{X}} \otimes f^*L}$, invertible.

$\Rightarrow \text{Im}(f^*J)$ is a subsheaf of L' generated by $(g \circ f)^* H(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1)) \Rightarrow$ No base locus on $\tilde{X} \Rightarrow$ defines a mor.

of "principalization"

Before we state a stronger version, let's recall some notations.

Smooth blow-up: $Z \subset^{\text{closed}} X$, $\pi: B|_Z: \tilde{X} \rightarrow X$

We say π is a smooth blow-up if X, Z are both smooth, $Z \text{ sm}^r X$.

We say π is trivial if Z is Cartier, π is iso.

We say π is empty if $Z = \emptyset$, π is iso.

snc - center: E is a snc divisor, $E = \sum E_i$, $Z \subset X$

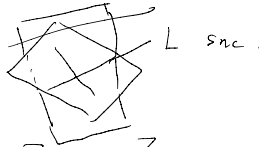
We say Z is snc with E if \exists local coord system $\{z_1, \dots, z_n\}$

$$\text{s.t. } Z = (z_1 = \dots = z_s = 0) \quad E_i = (z_{c(i)} = 0)$$

If $Z \not\subset \text{Supp } E$, then $E|_Z$ is snc.



E



L snc.

Let $\pi: X_n \rightarrow X_{n-1} \rightarrow \dots \rightarrow X_1 \rightarrow X_0 = X$ be a seq of blow-ups of

sm variety X , E snc div on X , Z_i is snc with E if

$$Z_i \subset X_i \text{ is snc with } \pi_{i,*}^{-1} E + \sum_{j < i} \pi_j^{-1}(Z_j) \text{ (snc)}.$$

Hence $\pi_i: X_i \rightarrow X$ $\pi_{ij}: X_i \rightarrow X_j$.

When $E = \emptyset$, Z_i is snc with exceptional set.

Now we state a stronger version.

P II: Let X be a sm variety / k char = 0, $I \subset \mathcal{O}_X$ a nonzero ideal sheaf, E snc div on X , \exists seq of \downarrow blow-ups
sm

$$\pi: \tilde{X} = X_n \rightarrow X_{n-1} \rightarrow \dots \rightarrow X_1 \rightarrow X_0 = X$$

whose center has snc with E , such that (Center Smooth)

\star (1) $\pi^{-1}I \cdot \mathcal{O}_{\tilde{X}}$ is the irr' sheaf of a snc div, and (snc with excep).

(2) π is functorial resp. to sm morphism.

Rem: (2) guarantees that $\pi|_{X \setminus \text{Supp } I}$ is an iso.

Cor II: (Non functorial "weak" Embedded Resolution of Sing)

Let Y be a closed subvariety of a sm variety X/k char 0. Then there is a bir proj mor $\pi: \tilde{X} \rightarrow X$ such that π is iso near η_Y i.e.

$$\pi|_{\tilde{Y}}: \tilde{Y} \rightarrow Y \text{ proj bir, and.}$$

\tilde{Y} has snc with \perp excep divs on \tilde{X} .

\downarrow smooth.

$$\rightarrow (A^n, \mathcal{O}_{A^n})$$

(Not sure $\pi|_Y$ is iso over $Y \setminus \text{Sing } Y$, $(\pi|_Y)^{-1}(\text{Sing } Y)$ snc on \tilde{Y}).

Proof: (of C II assuming P II)

Let I_Y be the ideal sheaf of $Y \in X$. Let

$\pi_r: X_r \rightarrow X_{r-1} \rightarrow \dots \rightarrow X_1 \rightarrow X_0 = X$ be a seq of sm blow-ups whose centers are snc with \emptyset as in P II such that $\pi_r^{-1}I_Y \cdot \mathcal{O}_{X_r}$ is principal

① If π_r is an iso over $\eta_Y \in X$, since $\pi_r^{-1}I_Y \cdot \mathcal{O}_{X_r}$ is an snc div, we can find an irr comp of $\text{Supp } \pi_r^{-1}I_Y \cdot \mathcal{O}_{X_r}$, denoted as \tilde{Y}

and $\tilde{Y} \rightarrow Y$ is bir, \tilde{Y} is smooth.

② If π_r is not an iso over η_Y . $\exists j$ s.t. $\eta_Y \in Z_j$ and $\eta_Y \notin Z_i$ for $i < j$. Since π is iso over $X \setminus Y$,

and $\pi_j(Z_j) \subset \text{Supp}(I_Y) = Y \Rightarrow \exists$ irr comp of Z_j , denoted as \tilde{Y}

s.t. $\tilde{Y} \rightarrow Y$ bir.

- ② B is smooth, then h^*B is smooth blow-up
- ③ h -is not surjective, h^*B may contain extra empty blow-up

Def 3 (Restriction to closed subvariety)

Let B as above, $j: S \rightarrow X$ is a closed emb. def

$$Bl_S = \begin{array}{ccccccc} S_r & \rightarrow & S_{r-1} & \rightarrow & \dots & \rightarrow & S_1 & \rightarrow & S_0 = S \\ & & \cup & & & & \cup & & \cup \\ & & Z_{r-1} \cap S_{r-1} & & & & Z_1 \cap S_1 & & Z_0 \cap S_0 \end{array}$$

here we need $\eta_S \not\subseteq Z_j$, (In fact we require all Z_i has image strictly contained in S in application)

Def 4 (Push forward resp to closed embedding)

$j: S \rightarrow X$ closed embedding.

$$B_S: \begin{array}{ccccccc} \pi: S_r & \rightarrow & S_{r-1} & \rightarrow & \dots & \rightarrow & S_1 & \rightarrow & S_0 = S \\ & & \cup & & & & \cup & & \cup \\ & & Z_{r-1}^S & & & & Z_1^S & & Z_0^S \end{array} \text{ blow-up seq for } S.$$

define

$$j_* B_S \text{ as } \begin{array}{ccccccc} j_* \pi: X_r & \rightarrow & X_{r-1} & \rightarrow & \dots & \rightarrow & X_2 & \rightarrow & X_1 & \rightarrow & X_0 = X \\ & & \cup & & & & \cup & & \downarrow & & \cup \\ & & Z_{r-1}^S & & & & Z_2^S & & S_1 & & Z_0^S \\ & & & & & & & & \cup & & \\ & & & & & & & & Z_1^S & & \end{array}$$

Remark: if $B(S)$ is smooth, then $j_* B(S)$ is smooth.

Now we consider a triple (X, I, E) , where X is smooth var, I ideal sheaf $\subseteq \mathcal{O}_X$, $div E \in X$

$$B(X, I, E) \quad \pi: X_r \rightarrow X_{r-1} \rightarrow \dots \rightarrow X_1 \rightarrow X_0 = X$$

$$I_i = \pi_i^{-1} I \cdot \mathcal{O}_{X_i} \quad E_i = \pi_{iX}^{-1} E \text{ on } X_i$$

$$\begin{array}{ccc}
 X: X \times Y & h^*(I_i) = h_i^{-1} I_i \cdot \mathcal{O}_{X \times Y} & \\
 \downarrow & \downarrow E_i \times Y & \\
 \underline{X_i} & I_i & E_i
 \end{array}$$

We extend push forward, pull back for triple.

① $h: Y \rightarrow X$ smooth, $\boxed{h^* \mathcal{B}(X, I, E)}$ as $h^*(I_i) = h_i^{-1} I_i \cdot \mathcal{O}_{X \times Y}$
 $h^*(E_i) = E_i \times X \times Y$

② $j: S \rightarrow X$ is a closed embedding. $j_* \mathcal{B}(X, I_S, E_S)$

$E_S \subset S \subset X$ $E_{S_i} \subset S_i \subset X_i$ natural. $j_* I_S$
 \downarrow
 $j^\# : \mathcal{O}_X \rightarrow j_* \mathcal{O}_S$

def: $j_* I_S \cdot \mathcal{O}_X = (j^\#)^{-1} (j_* I_S)$
 $\mathcal{O}_X / j_* I_S \cdot \mathcal{O}_X = j_*(\mathcal{O}_S / I_S)$
 locally: $j = \text{Spec } \frac{R}{I} \rightarrow \text{Spec } R$. $\varphi: R \rightarrow R/I$
 $j_* \overline{I} \cdot \mathcal{O}_{\text{Spec } R} = \widetilde{\varphi^{-1}(\overline{I})}$

define $j_*(I_{S_i}) = j_* I_{S_i} \cdot \mathcal{O}_{X_i}$ $j_i: S_i \rightarrow X_i$

Def 5: (Functorial Package)

① $\mathcal{B}(X, I, E)$ commute with smooth mor $h: Y \rightarrow X$
 if $h^* \mathcal{B}(X, I, E)$ is an extension $\mathcal{B}(Y, h^* I \cdot \mathcal{O}_Y, h^* E)$.

extension: $h^* \mathcal{B}$ is $\mathcal{B}(Y, h^* I \cdot \mathcal{O}_Y, h^* E)$ by adding some empty blow-ups.

② $\mathcal{B}(X, I, E)$ commutes with closed embedding if

$j: S \xrightarrow{I_S} X \xrightarrow{E} Y$
 $\mathcal{B}(X, j_* I_S \cdot \mathcal{O}_X, E) = j_* \mathcal{B}(S, I_S, E|_S)$
 $\Rightarrow j^*(X, j_* I_S \cdot \mathcal{O}_X, E) = \mathcal{B}(S, I_S, E|_S)$

Now we state the final principalization thm.

PIII: For any triple (X, I, \mathbb{E}) , where X is sm var / k char = 0, \mathbb{E} snc div on X , $I \subset \mathcal{O}_X$ ideal sheaf, then there exist a smooth blow-up seq functor $\mathbb{B}(X, I, \mathbb{E})$, such that all centers of blow-ups are snc with \mathbb{E} , and

- (1) $\pi^{-1}I \cdot \mathcal{O}_X$ is an ideal sheaf of snc div,
- (2) \mathbb{B} commutes with smooth morphisms,
- (3) \mathbb{B} commutes closed embeddings whenever $\mathbb{E} = \emptyset$
- (4) \mathbb{B} commutes with field extensions (separable)

CIII: (Functorial Strong "Embedded" Resolution of Sing)

Let Y be a subvar of sm var X/k char 0. Then there exists a seq of blow ups $\pi: \tilde{X} = X_r \rightarrow \dots \rightarrow X_1 \rightarrow X_0 = X$ with centers snc with \emptyset ,
_{Smooth}

such that

- (1) $\pi|_Y: \tilde{Y} \rightarrow Y$ is a proj bir with \tilde{Y} main comp of $\pi^{-1}(Y)$, here π is iso near η_Y .
- (2) $\pi|_Y$ is iso over $(Y \setminus \text{Sing } Y)$, $\pi^{-1}(\text{Sing } Y)$ snc on \tilde{Y} .
- (3) π commutes with sm morphisms
- (4) π commutes with closed embeddings.
- (5) π commutes with field extensions.

Rem: The above resolution depends on how we embed varieties, and can only resolve sings of varieties that can be embedded into sm varieties

Problem 1: Not every var has an embedding to sm var.

Problem 2: To remove the influence of embedding, we need to glue resolutions, but the glued mor may not be projective.

Problem 3: To ensure canonicity, can we find "canonical embedding"?

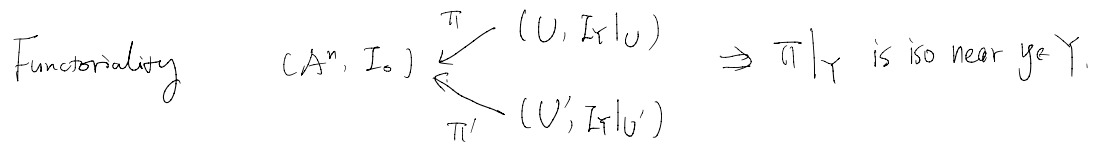
$P_{III} \Rightarrow C_{III}$: As in the proof of $P_{II} \Rightarrow C_{II}$, we have a seq of smooth blow-ups $\pi: \widehat{X} = X_r \rightarrow X_{r-1} \rightarrow \dots \rightarrow X_1 \rightarrow X_0 = X$ which appears as a subseq of the "principalization blow-up seq" of (X, I_Y, ϕ) . Such that π is an iso near η_Y , and π induce a resolution $\pi|_Y: \widehat{Y} \rightarrow Y$.

(3)-(5) follows directly from P_{III} (2)-(3).

Now, for (2) $\forall y \in Y \subset X$ smooth point of Y , $\exists y \in U \subset X$ s.t. $(A^n, I_0 = \langle x_1, x_2, \dots, x_k \rangle) \xleftarrow[\text{étale } \pi]{\pi} (U, I_Y|_U)$

$$I_{Y|_U} = \pi^* I_0 \cdot \mathcal{O}_U$$

\Rightarrow take $y' \in Y \subset X$ s.t. $\pi|_Y$ is an iso near $y' \in Y$



so $\pi|_Y$ is iso / $(Y \setminus \text{Sing } Y)$.

$\pi^{-1}(\text{Sing } Y)$ is SNC, this follows from all blow up center is SNC with ϕ .

L1 (Gluing Lemma)

Let B be a blow-up seq "functor" defined for affine varieties over k of char 0, such that commutes with smooth morphism, then B has a unique extension to all varieties.

Proof: Let X be a variety / k , $\{U_i\}$ on affine cover

For each i , B assigns a center Z_{i0} on U_i s.t. the first blow up is

$$B|_{Z_{i0}} U_i \rightarrow U_i \text{ for } B(U_i)$$

Consider $\phi_i^*: U_{ij} \rightarrow U_i$ $\phi_j^*: U_{ij} \rightarrow U_j$ ($U_{ij} = U_i \cap U_j$)

$$\phi_i^* B(U_i) = B(U_{ij}) = \phi_j^* B(U_j)$$

$\Rightarrow Z_{i0}|_{U_{ij}} = Z_{j0}|_{U_{ij}} = Z_{ij0} \Rightarrow$ we can glue all Z_{i0} naturally to Z_0 on X , and

get a canonical "glued" blow-up $B|_{Z_0} X \rightarrow X$.

This process does not depend on choice of $\{U_i\}$, since for other cover $\{V_i\}$, then we can repeat above process to $\{U_i\} \cup \{V_i\}$, this solves the problem.

Repeat the process, we can construct a seq of blow up functor for X , and functoriality for sm morphism and field extension follows from the construction.

□.

Rem: For any $h: Y \rightarrow X$, we can write it as $h|_{U_i} = h^{-1}(U_i) \rightarrow U_i$, and apply gluing argu.

1.2 (Local canonicity of embedding)

Let X be an affine variety X and $i_1: X \rightarrow A^n$, $i_2: X \rightarrow A^m$ two closed embeddings

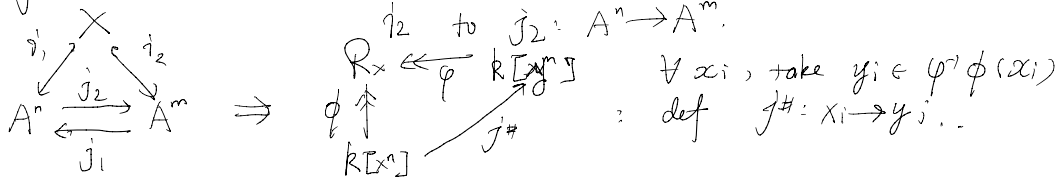
then we have a further embedding

$$i_1': X \xrightarrow{i_1} A^n \rightarrow A^{n+m} \quad \text{and} \quad i_2': X \xrightarrow{i_2} A^m \rightarrow A^{n+m}$$

$$x \rightarrow i_1(x) \rightarrow (i_1(x), 0) \quad \quad \quad x \rightarrow i_2(x) \rightarrow (0, i_2(x))$$

such that i_1' and i_2' are equivalent under a (nonlinear) automorphism of A^{n+m} .

Proof: We extend i_1 to $j_1: A^m \rightarrow A^n$; the extension is not unique.



Let \vec{x} be coords on A^n , \vec{y} on A^m .

$$\varphi_1: (\vec{x}, \vec{y}) \rightarrow (\vec{x}, \vec{y} + j_2(\vec{x})) \quad A^{n+m} \rightarrow A^{n+m}$$

$$\varphi_2: (\vec{x}, \vec{y}) \rightarrow (\vec{x} + j_1(\vec{y}), \vec{y}).$$

$$X \xrightarrow{i_1'} A^{n+m} \xrightarrow{\varphi_1} A^{n+m}$$

$$\vec{x} \xrightarrow{i_2'} \begin{pmatrix} i_1(x), 0 \end{pmatrix} \xrightarrow{\varphi_2} \begin{pmatrix} i_1(x), j_2(i_1(x)) = i_2(x) \end{pmatrix}$$

$$\vec{x} \xrightarrow{i_2'} \begin{pmatrix} 0, i_2(x) \end{pmatrix} \xrightarrow{\varphi_2} \begin{pmatrix} i_1(x), i_2(x) \end{pmatrix}$$

(In char 0, k is automatically an infinite field).

L3: Let $h: Y \rightarrow X$ be a smooth morphism, $y \in Y$ a closed point and

$i: X \rightarrow A_x$ closed embedding to sm var. Then \exists open set $U(y) \in A_x \in A_x$, a smooth affine var A_y° with a smooth morphism

$$h_A: A_y^\circ \rightarrow A_x^\circ$$

set $Y^\circ = h_A^{-1}(X \cap A_x^\circ) \ni y$, it has a closed embedding $Y^\circ \xrightarrow{j} A_y^\circ$, such that the following diagram commutes and is fiber product:

$$\begin{array}{ccc} Y^\circ & \xrightarrow{j} & A_y^\circ \\ h \downarrow & & \downarrow h_A \\ X^\circ & \xrightarrow{i} & A_x^\circ \end{array}$$

$$\begin{array}{c} \text{aff} \rightarrow A_x^N \\ \downarrow \end{array}$$

Proof: The problem is local, we assume X, Y, A_x affine, $Y \subset X \times A^N$.

If h is rel of dim d , consider the closed pt $x = h(y)$, by taking general projection

$\zeta: A_x^N \rightarrow A_x^{d+1}$, we may assume $h^{-1}(x) \rightarrow A_x^{d+1}$ is finite mor and is an embedding near $y \in h^{-1}(x)$. (Need k to be infinite field).

Now, shrinking Y and X , we may assume Y is an open subset of a hypersurface $H \subset X \times A^{d+1}$, defined $\sum_I \phi_I Z^I$, ϕ_I reg func on X , Z cor for A^{d+1} .

Now, $X \hookrightarrow A_x$ closed embedding, we extend ϕ_I to $\bar{\phi}_I$ regular functions on A_x ,

set $A_Y = (\sum \bar{\phi}_I Z^I = 0) \subset A_x \times A^{d+1} \rightarrow A_x$

$\Rightarrow Y \subset A_Y$ and $A_Y \rightarrow A_x$ is smooth near $y \in A_Y$.

$$\begin{array}{ccc} y \in Y^\circ & \xrightarrow{j} & A_y^\circ \\ \downarrow & \cong & \downarrow h_A \\ X^\circ & \xrightarrow{i} & A_x^\circ \end{array}$$

Return to our Main Goal: CIII \rightarrow Main Goal.

By CIII we construct $\mathcal{B}(X)$ for affine varieties.

We need to check

- ① $\mathcal{B}(X)$ is indep of choice of embedding
- ② $\mathcal{B}(X)$ functorial respect to smooth morphism
- ③ $\mathcal{B}(X)$ is functorial resp field ext.

